Title: Visual information is predictively encoded in occipital alpha/low-beta oscillations **Abbreviated Title:** Occipital rhythms carry predictive information

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Conflict of interest

The authors declare no competing financial interests.

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1 Abstract

2 Hierarchical predictive coding networks are a general model of sensory processing in the brain. 3 Under neural delays, these networks have been suggested to naturally generate oscillatory 4 activity in approximately the alpha frequency range (~8-12 Hz). This suggests that alpha 5 oscillations, a prominent feature of EEG recordings, may be a spectral 'fingerprint' of 6 predictive sensory processing. Here, we probed this possibility by investigating whether 7 oscillations over the visual cortex predictively encode visual information. Specifically, we 8 examined whether their power carries information about the position of a moving stimulus, in 9 a temporally predictive fashion. In two experiments (N = 32, 18 female; N = 34, 17 female), 10 participants viewed an apparent-motion stimulus moving along a circular path, while EEG was recorded. To investigate the encoding of stimulus-position information, we developed a 11 method of deriving probabilistic spatial maps from oscillatory power estimates. With this 12 method, we demonstrate that it is possible to reconstruct the trajectory of a moving stimulus 13 14 from alpha/low-beta oscillations, tracking its position even across unexpected motion 15 reversals. We also show that future position representations are activated in the absence of 16 direct visual input, demonstrating that temporally predictive mechanisms manifest in alpha/beta-band oscillations. In a second experiment we replicate these findings and show that 17 18 the encoding of information in this range is not driven by visual entrainment. By demonstrating 19 that occipital alpha/beta oscillations carry stimulus-related information, in a temporally 20 predictive fashion, we provide empirical evidence of these rhythms as a spectral 'fingerprint' 21 of hierarchical predictive processing in the human visual system.

22 Significance Statement

'Hierarchical predictive coding' is a general model of sensory information processing in the 23 24 brain. When in silico predictive coding models are constrained by neural transmission delays, 25 their activity naturally oscillates in roughly the alpha range (~8-12 Hz). Using time-resolved 26 EEG decoding, we show that neural rhythms in this approximate range (alpha/low-beta) over 27 the human visual cortex predictively encode the position of a moving stimulus. From the 28 amplitude of these oscillations we are able to reconstruct the stimulus' trajectory, revealing 29 signatures of temporally-predictive processing. This provides direct neural evidence linking 30 occipital alpha/beta rhythms to predictive visual processing, supporting the emerging view of 31 such oscillations as a potential spectral 'fingerprint' of hierarchical predictive processing in the 32 human visual system.

33

Introduction

34 'Predictive coding' is a general model of the hierarchical inference process underlying visual 35 processing (Rao & Ballard, 1999). The functional architecture of the visual system implied by 36 predictive coding is that of a hierarchical network of interconnected neural populations. The 37 higher levels of this network attempt to predict the activity of lower levels, with the residuals 38 of these predictions being passed back upwards.

39 In the predictive coding literature, the fact that neural signalling takes time has often 40 been overlooked (but see Friston, 2008; Hogendoorn & Burkitt, 2019). Consideration of this 41 fact places important constraints on predictive coding models, in that predictions and residuals 42 can never be transmitted instantaneously, but must rather pass between levels with some delay. 43 Recent theoretical work has suggested that when biologically plausible signalling delays are 44 built into hierarchical predictive coding networks, the recursive network dynamics naturally 45 generate oscillatory activity in approximately the alpha frequency range (~8-12 Hz, with the 46 precise frequency depending on the signalling delay and neural time constant; Alamia & 47 VanRullen, 2019). This is important because it suggests that oscillations in this general frequency range may (in some cases) be a signature of predictive sensory processing, arising 48 49 from rhythmic 'message passing' between hierarchically-organised neural populations. If this 50 is true, one might expect features of these rhythms, such as their power (squared amplitude), 51 to carry information about the underlying stimulus being processed. However, this has yet to 52 be directly tested. The primary aim of this study was therefore to examine whether the power 53 of alpha oscillations over the occipital cortex carries stimulus-related information.

54 One complication which arises when incorporating neural delays into a hierarchical 55 predictive coding framework is that for time-varying input, backwards predictions will always 56 conflict with sensory input if neural delays are not accounted for. To effectively minimize 57 prediction error, information processing must not only be hierarchically predictive, but also 58 *temporally* predictive. That is, extrapolation mechanisms are needed that adjust forwards and 59 backwards signals and correct for the lag incurred during signal transmission (Hogendoorn & 60 Burkitt, 2019). Consequently, if alpha oscillations are a signature of predictive coding, the 61 information they carry should display temporally predictive/anticipatory qualities. When prior 62 expectations about the stimulus can be generated, these rhythms should carry information about 63 expected input, even in the absence of feed-forward signals. While there is mounting evidence 64 that neural activity patterns during visual processing do carry predictive information (e.g., 65 Blom et al., 2020; Kok et al., 2014, 2017; Liu et al., 2021), the spectral locus of such 66 information has typically not been investigated.

67 In the present study, we examined whether and how information about the position of 68 a predictably moving stimulus manifests in oscillations over the occipital cortex. In two experiments (N = 32, 34), participants viewed an apparent motion stimulus (i.e. a series of 69 70 spatially and temporally separated flashes that generate the percept of coherent motion) 71 travelling along a circular path while EEG was recorded. In these sequences, the stimulus' 72 trajectory was predictable, meaning its future position could be anticipated, although the end 73 of each sequence was unexpected. Importantly, in a previously published analysis of the dataset 74 from Experiment 1 we demonstrated that predictions about the upcoming stimulus position 75 were evident in the EEG signal (Blom et al., 2020). Here, we use a complementary analysis 76 strategy to investigate whether predictive representations manifest in specific oscillatory 77 frequency bands. To do so, we develop a method for constructing probabilistic spatial maps 78 from oscillatory power estimates. With this method, we demonstrate that the location of the 79 stimulus can be decoded from occipital oscillations in the alpha/low-beta range (peak 80 information at ~12 Hz). We also observe anticipatory activation of neighbouring unstimulated 81 position representations at the end of motion sequences, suggesting that the processes 82 underlying predictive spatial pre-activation manifest in alpha/beta-band oscillations. In a 83 second experiment, we replicate and extend these findings, ruling out the possibility that the 84 encoding of information in this range is driven by visual entrainment.

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Materials and Methods

87 Experiment 1

88 This experiment includes data collected using two slightly different protocols. Note that 89 separate investigation of this dataset has been previously reported (Blom et al., 2020, 2021).

90

91 *Participants*

92 Twelve observers (6 female, mean age 25 years) participated under the first protocol and twenty 93 observers (12 female, mean age 23 years) participated under the second protocol. All had 94 normal or corrected-to-normal vision. Both protocols were approved by the human research 95 ethics committee of the University of Melbourne (Ethics ID 1954628), Australia. All observers 96 gave written informed consent prior to participating and were reimbursed AUD15 per hour.

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98 Procedure

99 The stimulus was a black, truncated wedge presented on a uniform 50% gray background. The 100 stimulus could appear in one of eight equally spaced locations around a white central fixation 101 point, at 22.5°, 67.5°, 112.5°, 157.5°, 202.5°, 247.5°, 292.5°, and 337.5° of polar angle from the vertical (Figure 1). Inner and outer edges of the wedge were 6.3° and 7.7° of visual angle 102 103 away from fixation, respectively. The wedge covered 11° of polar angle, with 1.3° of visual angle at the inner and 1.5° of visual angle at the outer edge. The stimulus was presented for 66 104 105 ms, with an interstimulus interval of 33 ms and an intertrial interval of 400 ms between 106 sequences. Stimuli were presented on an ASUS ROG PG258 monitor with a resolution of 1,920 107 \times 1,080 running at 120 Hz. The monitor was controlled by an HP EliteDesk 800 G3 TWR PC 108 running MATLAB R2017b with PsychToolbox 3.0.14. Participants viewed the stimuli from a 109 headrest at a distance of 60 cm.

110

111 *Task*

112 Participants viewed an apparent motion stimulus moving along a circular trajectory, while EEG was recorded. After moving for between 3 and 44 flash repetitions (300 ms and 4.4 s), the 113 114 stimulus either disappeared or reversed its direction (Figure 1). Participants were tasked with 115 making a button press whenever the stimulus was coloured red instead of black. This occurred 116 32 times per block under protocol 1 and 50 times per block under protocol 2. The task was designed to keep participants engaged with the stimulus and behavioral data were not analyzed. 117 118 Under protocol 1, trials with targets were discarded, and target trials were shown again at the end of each block. Under protocol 2, trials with targets were simply discarded. 119



120 Figure 1. Stimulus display and analysis pipeline. Participants viewed an apparent motion stimulus 121 moving through 8 positions around a circle. In Experiment 1, the position of the stimulus was updated 122 every 100 ms (66 ms stimulus on screen, 33 ms ISI). In Experiment 2, the update rate was varied 123 between 100 ms (10 Hz), 125 ms (8 Hz) and 150 ms (6.67 Hz) by adjusting the ISI. After moving for 124 between 3 and 44 presentations (300 ms - 4.4 s) the stimulus would either A) disappear or, in a subset 125 of trials, B) reverse its direction of motion. C) Recordings from 8 occipital electrodes were separated 126 into a training set (epochs around the first flash in an apparent motion sequence) and a testing set (four 127 specific epochs: Start, Middle, Stop and Reversal). Complex Morlet wavelet convolution was used to 128 extract frequency-specific power estimates. For each frequency, LDA classifiers were then trained to 129 predict the stimulus position from normalized power estimates at each training time point (+50 to +150 130 ms). Across testing timepoints, the average predicted posterior probabilities for the stimulus occupying 131 each of the 8 possible positions was then taken. In other words, at each testing timepoint, predicted 132 posterior probabilities were generated across all pre-trained temporally-specific classifiers (+50 to +150 133 ms) and the average across these was taken. The data was then re-centred on the presented stimulus 134 position and one motion direction was 'flipped', yielding frequency-specific stimulus-position maps.

135 Experimental Design

Under protocol 1, participants completed six blocks of sequences across three testing sessions. 136 137 Under protocol 2, participants completed two blocks across two testing sessions. 138 139 Under protocol 1, each block contained the following types of trials, randomly interleaved: 140 1) Sequences with one, two, or three consecutive presentations starting at each 141 position and moving in both directions were presented 10 times (3 sequence lengths \times 8 starting positions \times 2 directions \times 10 repetitions = 480 trials). 142 143 2) Sequences with four, five, six, seven, or eight consecutive presentations starting at 144 each position and moving in both directions were presented twice (5 sequence 145 lengths \times 8 starting positions \times 2 directions \times 2 repetitions = 160 trials). 146 3) Sequences with 16, 20, 24, 28, 32, 36, 40, or 44 consecutive presentations starting 147 at each position and moving in both directions were presented once (8 sequence 148 lengths \times 8 starting positions \times 2 directions = 128 trials). 149 4) Sequences with 16, 20, 24, 28, 32, 36, 40, or 44 consecutive presentations starting at each position and moving in both directions followed by a reversal and 150 continuation in the opposite direction for 8 to 16 (randomly determined) additional 151 152 presentations were presented once (8 sequence lengths \times 8 starting positions \times 2 153 directions = 128 trials). 154 155 Because 32 target trials were appended to the trial list, each block encompassed 928 trials (in 156 16 sets of 58 trials). Each set was initiated with a button press. Each participant completed two 157 blocks per session, with a block lasting ~ 30 min. In total, each participant completed 5,568 158 trials. 159 160 Under protocol 2, all types of trials were combined in a single block, randomly interleaved: 161 1) Sequences with four, five, six, seven, or eight consecutive presentations starting at 162 each position and moving in both directions were presented eight times (5 sequence 163 lengths \times 8 starting positions \times 2 directions \times 8 repetitions = 640 trials). 164 2) Sequences with 9, 10, 11, 12, 13, 14, 15, or 16 consecutive presentations starting at 165 each position and moving in both directions were presented four times (8 sequence 166 lengths \times 8 starting positions \times 2 directions \times 4 repetitions = 512 trials). 167 3) Sequences with 9, 10, 11, 12, 13, 14, 15, or 16 consecutive presentations starting at 168 each position and moving in both directions followed by a reversal and continuation 169

170 171 presentations were presented four times (8 sequence lengths \times 8 starting positions \times 2 directions \times 4 repetitions = 512 trials).

in the opposite direction for one to eight (randomly determined) additional

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In each block, a target was randomly presented in 50 trials, and these trials were discarded.
Each block was split up into 13 sets, and each set was initiated with a button press. In a session,
participants completed one block, taking ~90 min. In total, each participants completed 3,328
trials.

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178 *EEG acquisition and preprocessing*

The 64-channel EEG data, and data from six EOG and two mastoid channels, were acquired using a BioSemi ActiveTwo EEG system sampling at 2,048 Hz. EEG data were re-referenced offline to the average of the two mastoid electrodes and resampled to 512 Hz. Eleven participants had one bad channel during one of the sessions. This channel was spherically interpolated using EEGlab (Delorme & Makeig, 2004).

184 All data were epoched relative to stimulus onset. For the decoding analysis, we make a 185 distinction between training and test epochs. Training epochs (-150 to +150ms) were used to 186 train temporally-specific LDA classifiers. Under both protocols, the training epochs were time-187 locked to the first presentation in a sequence. The initial stimulus was random and had no 188 history, meaning its position could not be anticipated. The training data was initially epoched 189 from -800 ms before stimulus onset to +800 ms after and baseline-corrected to the mean of the 200-ms period before stimulus onset. Reduced epochs (-150 to +150ms) were then extracted 190 191 and concatenated prior to time-frequency decomposition.

192 Test epochs were extracted relative to the onset of four events of interest ('Start', 193 'Middle', 'Stop', and 'Reversal'). Initial epochs were again taken from -800 ms to +800 ms 194 and were baseline-corrected to the mean of the 800-ms period before stimulus onset. This 195 baseline period was chosen such that it was consistent across all epochs and contained a full 196 cycle of motion on the majority of the epochs, in order to avoid introducing stimulus-specific 197 differences as much as possible. Reduced epochs (-400 to +800ms) were then extracted and 198 concatenated prior to time-frequency decomposition. Training and testing epochs in which the 199 amplitudes across any of the 8 occipital electrodes exceeded 100 µV were rejected. Across all 200 observers, 11.70% (SD = 6.98 %) of epochs were removed in this way.

201 *Time-frequency decomposition and power-based decoding analysis*

To focus on EEG activity recorded over the visual cortex, our analyses were restricted to the eight occipital electrodes (PO7 PO3 O1 POz Oz O2 PO4 PO8). To construct the training set, we extracted epochs between -150 and +150 ms after the onset of the first stimulus in the apparent motion sequences. To construct the testing set, we extracted epochs between -400 and +800 ms relative to the four events of interest ('Start', 'Middle', 'Stop', and 'Reversal').

207 We decoded from timepoint-specific normalized power estimates, to avoid potential 208 issues with baselining (Hajonides et al., 2020). To extract these power estimates, time-209 frequency decomposition was performed using custom MATLAB code. The EEG time series 210 was convolved with a set of complex Morlet wavelets, defined as Gaussian-windowed complex sine waves: $e^{i2\pi tf}e^{-t2/(2*\sigma^2)}$, where t is time, f is frequency (which increased from 2 to 40 Hz in 211 212 20 linearly spaced steps, although for consistency the third extracted frequency was set to 6.67 213 Hz to align with the slowest stimulus presentation rate in Experiment 2), and σ defines the 214 width of each frequency band, defined as $n/2\pi f$, with n logarithmically increasing from 3 to 10. 215 From the resulting analytic signal (z) we obtained power estimates defined as $p(t) = |z(t)|^2$.

216 To investigate the spectral locus of stimulus-position information, we trained LDA 217 classifiers at each training time point (+50 and +150 ms) to predict the position of the initial 218 stimulus from frequency-specific normalized power estimates. Across testing timepoints (-400 219 to +800ms) we then took individual trials, and computed the posterior probabilities associated 220 with the stimulus being in each of the 8 possible positions. Averaging across testing trials this 221 yielded 8 values indicating the probability the stimulus was in a given position, for a given 222 training representation. To temporally-generalize this measure, we averaged the probabilities 223 from each of the temporally-specific classifiers (+50 to +150 ms). This yielded a time-224 generalized measure of the relative probability that a stimulus was in each of the possible 225 locations, at a given testing timepoint (i.e., a probabilistic map). Temporal generalization was 226 necessary to allow for the fact that the timing of sensory processing likely changes when stimuli 227 are predictable (Blom et al., 2020). Finally, we re-ordered the resulting probability values to 228 centre the location of the presented stimulus at t=0, flipping one motion direction condition to 229 align the probability estimates. Averaging across stimulus positions and motion directions this 230 yielded frequency-specific maps of the stimulus position over time.

To examine the timecourses of position information encoding, and to test for evidence of temporal prediction, we extracted the position evidence timecourse for the location one step ahead of the position the stimulus occupied at t=0 (i.e. one position forwards along its original trajectory of motion). This allowed us to see whether future (expected) position representations were activated when the stimulus unexpectedly reversed direction or disappeared (i.e. in the absence of direct visual input). To assess the frequency specificity of stimulus-position information encoding, we convolved a cosine function with each frequency-specific spatial tuning function (i.e. probabilistic maps constructed from the power of individual frequencies). Averaging across time (+50 to +150 ms), this yielded a single estimate of the strength of the stimulus-position information encoded at each frequency.

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242 Statistical Analysis

We adopted a non-parametric approach to analysing the position evidence timecourses (Figure 2B and 3B). Specifically, we estimated a one-sided bias-corrected and adjusted bootstrapped confidence interval around the mean (10000 bootstrapped samples, alpha levels of 0.05 and 0.01). Timepoints where this interval exceeded 0 were taken as being significantly different from chance.

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249 Experiment 2

To control for the underlying rhythmicity of the stimulus we ran a second experiment in which the update-rate of the stimulus was varied across three frequencies: 100 ms (10 Hz), 125 ms (8 Hz) and 150 ms (6.67 Hz). Unless otherwise stated the procedure employed in Experiment 2 was identical to Experiment 1.

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255 Participants

Thirty four observers (17 female, mean age 25 years) participated in Experiment 2. All observers had normal or corrected-to-normal vision. The experimental protocol was approved by the human research ethics committee of the University of Melbourne (Ethics ID 1954628), Australia. All observers gave written informed consent prior to participating and were reimbursed AUD15 per hour.

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262 Procedure

263 Participants completed three separate blocks of apparent motion sequences in a random order.

In each block the interstimulus interval (ISI) was varied (Block Type 1: 33.33 ms ISI – 100

265 ms update rate; Block Type 2: 58.33 ms ISI – 125 ms update rate; Block Type 3: 83.33 ms ISI

266 - 150 ms update rate), while the stimulus presentation time (66.66 ms) was held constant. Each

267 block consisted of sequences of 4-12 consecutive presentations starting at each position and

268 moving in both directions, presented 6 times (9 sequence lengths × 8 starting positions × 2
269 directions × 6 repetitions = 864 trials).

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271 *EEG acquisition and preprocessing*

All acquisition and screening procedures were identical to Experiment 1. Thirteen participants had one bad channel during one of the sessions. These channels were spherically interpolated using EEGlab (Delorme & Makeig, 2004). Across all participants, 14% (SD = 9.13%) of epochs were removed for exceeding the 100 uV limit. Identical time frequency decomposition, decoding, and statistical analysis methods to those used in Experiment 1 were used to analyse the data from Experiment 2.

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279 Data and Code Availability

- 280 Code and data for recreating all analyses will be made available on the open science
- framework at the time of publication: <u>https://osf.io/x8n9p/</u>.

Results

Figure 2B shows probabilistic stimulus-position maps derived from the power of occipital oscillations in the alpha/low-beta range (10-16 Hz), split by motion direction and event of interest ('Start', 'Middle', 'Stop', 'Reversal', Figure 2A).



286 Figure 2. Tracking the position of moving stimuli from occipital alpha/low-beta power. A) 287 Illustration of the four events of interest: 'Start': the initial stimulus in a motion sequence, 'Middle': 288 presentation of a stimulus embedded within an ongoing sequence, 'Stop': presentation of the final 289 stimulus in a motion sequence, and "Reversal": presentation of final stimulus before a motion reversal. 290 B) Probabilistic stimulus-position maps derived from the power of occipital oscillations in the 291 alpha/low-beta range. Red indicates high probability regions and blue indicates low probability regions. 292 Time is shown on the y-axis and spatial position on the x-axis. The solid black lines in each map indicate 293 the position of the stimulus as it moves along its motion trajectory. Dotted lines (in the Stop and 294 Reversal maps) indicate positions the stimulus would have occupied if it had kept moving as expected, 295 rather than stopped or reversed. Maps are split by the motion direction of the stimulus (clockwise, 296 counterclockwise) and the event of interest. In panels A and B, solid black arrows mark the position of 297 the relevant event of interest.

Figure 3A shows the same data, collapsed across motion direction. The probabilistic maps presented in Figures 2 and 3 reveal that occipital alpha/low-beta oscillations contain position information, with the high probability region (shown in red) consistently tracking the location of the stimulus, even when the stimulus unexpectedly reverses direction. This

- 302 demonstrates that it is possible to reconstruct the stimulus' trajectory from the power of these
- 303 oscillations alone.



Figure 3. Experiment 1. A) Stimulus-position maps derived from the power of alpha/low-beta 304 305 oscillations (10-16 Hz) over the occipital cortex. Red indicates high probability regions and blue 306 indicates low probability regions. Time is shown on the y-axis and spatial position on the x-axis. The 307 solid black lines in each map indicate the position of the stimulus as it moves along its motion trajectory. 308 Dotted lines (in the Stop and Reversal maps) indicate positions the stimulus would have occupied if it 309 had kept moving as expected, rather than stopped or reversed. B) Probability time-courses for the +1310 ahead position. Timepoints where the lower bound of single-sided bias-corrected and accelerated (BCa) 311 bootstrapped 95% or 99% confidence intervals exceeded zero are marked with grey and black dots 312 respectively. Solid vertical lines mark the onset of the stimulus at t=0. C) Frequency specificity of 313 positional information. Position tuning is quantified as the average cosine-convolved evidence between 314 +50 and +150 ms. Grey and blue lines show position evidence calculated on actual and scrambled 315 position maps. The vertical grey lines mark the approximate boundaries of the canonical EEG frequency 316 bands (theta: 4-8 Hz, alpha: 8-12Hz, low beta: 12-20 Hz, high beta: 20-30 Hz, gamma: 30-40 Hz).

To examine whether position information was encoded in a temporally predictive fashion, we examined the evidence timecourse for the position one step ahead of the position the stimulus occupied at t=0 (i.e. one position forwards along its original trajectory of motion, Figure 3B). In line with our previous work using more conventional classification analysis applied to raw EEG amplitudes (Blom et al., 2020), these timecourses reveal that when the stimulus stopped or reversed, there was anticipatory activation of the next expected positionrepresentation at the time of expected presentation.

324 To assess the frequency specificity of stimulus-position information encoding, we 325 convolved a cosine function with each frequency-specific spatial tuning function (i.e. 326 probabilistic maps constructed from the power of individual frequencies) between +50 and 327 +150 ms (Hajonides et al., 2021). Averaging across time, this yielded a single estimate of the 328 strength of the stimulus-position information encoded at each frequency. Consistent with the 329 theoretical work of Alamia and VanRullen (2019), this analysis revealed that stimulus-position 330 information was strongly encoded in the alpha range (Figure 3C). Interestingly, peak encoding 331 occurred at roughly the border of the canonical alpha and beta ranges (12 Hz), with clear 332 information encoding extending into the low-beta range (~12-20 Hz). This potentially suggests 333 that the relevant time-delay for visual processing is slightly shorter than Alamia & VanRullen 334 (2019) originally assumed (see Discussion). We note that this entire pattern of results also holds 335 after first subtracting the condition-specific ERPs from the data, suggesting non-phase-locked 336 power effects, and not simply VEP amplitude differences, are driving decoding (results not 337 shown, see online data).

One interpretation of the results from Experiment 1 is that occipital alpha/low-beta oscillations are a spectral signature of ongoing recursive signaling between hierarchicallyorganised regions of the visual system, with their power carrying (spatial) information about the underlying stimulus being processed. However, because the apparent motion stimulus in this experiment was updated every 100 ms (i.e. at 10 Hz), it is possible that stimulus-related information was entrained in the alpha range by the underlying rhythmicity of stimulus-evoked activity.

345 To examine this possibility, we ran a second experiment in which we varied the 346 stimulus update rate across three frequencies: 10 Hz (100 ms), 8 Hz (125 ms), and 6.67 Hz 347 (150 ms). A new group of participants (N = 34) viewed an otherwise identical apparent motion 348 stimulus moving along a circular path, while EEG was recorded. Figure 4A shows stimulus-349 position maps derived from the power of occipital alpha oscillations in Experiment 2. Different 350 rows illustrate different stimulus update rates (10 Hz, 8 Hz, or 6.67 Hz), for the Start (left 351 column), Middle (middle column) and End of the sequence (right column). Note that there 352 were no motion reversals in this experiment.

The results of Experiment 2 replicated those of Experiment 1. The location of the stimulus could again be tracked from the power of occipital alpha/low-beta oscillations (10-16 Hz), across variations in stimulus update rate. Similarly, we again saw an increase inprobability for the next expected position when the stimulus disappeared (Figure 4B).



Figure 4. Experiment 2. A) Stimulus-position maps derived from the power of 10-16 Hz oscillations 357 358 over the occipital cortex in Experiment 2. Plotting conventions are the same as in Figure 2, except the 359 data has been split by stimulus update rate (rows) and trial type (columns). Each map shows data at the 360 start (left column), middle (middle column) and end (right column) of a motion sequence. B) Probability 361 time-courses for the +1 ahead position. Timepoints where the lower bound of single-sided bias-362 corrected and accelerated (BCa) bootstrapped 95% or 99% confidence intervals exceeded zero are 363 marked with grey and black dots respectively. C) Frequency specificity of position information and 364 average peak frequency of position tuning, calculated from cosine-convolved evidence between 50-150 365 ms. The vertical lines mark the stimulation frequency across the 6.67 Hz, 8 Hz, and 10 Hz conditions.

Figure 4C shows that stimulus-position information was again strongly encoded in the alpha/low-beta range, across variations in stimulus update-rate. Even after extended exposure to driven input at lower frequencies (i.e. in the Middle and Stop epochs), there is minimal effect on information encoding within the alpha/beta range. However, at lower frequencies there is slightly more (albeit inconsistent) variability across update rates. For the 6.67 and 8 Hz conditions, there is qualitatively stronger information encoding, although this does not perfectly scale with the stimulation frequency (see Discussion).

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373 Figure 5. Examining the timecourse and spectral locus of predictive position information (collapsing across both experiments). A) A frequency by time image of probability values 374 375 for the +1 ahead position, collapsing across all Stop epochs. This reveals a transition from 376 below-chance probabilities for the +1 ahead position (in black) to above-chance probabilities 377 (in white), centred on the alpha/low-beta range. B) The timecourse of probability values when 378 averaging within the alpha/low-beta range (10-16 Hz). C) Frequency specificity of predictive 379 position information (averaged between 100 - 400 ms). In panels B and C, timepoints where 380 the lower bound of single-sided bias-corrected and accelerated (BCa) bootstrapped 95% or 381 99% confidence intervals exceeded zero are marked with grey and black dots.

382 Finally, to examine the timecourse and spectral locus of predictive position information 383 in greater detail, we collapsed the data from all Stop epochs across both experiments. Focussing 384 on the +1 ahead position (i.e. the boxed region in Figure 3A), we calculated the median 385 probability timecourse across participants for all stop conditions (Experiment 1 has one 386 condition, Experiment 2 has 3 conditions for the three speeds, respectively). Averaging across these yields a frequency by time image of probability values. Examining this, we can see that 387 388 up until the presentation of the last stimulus (at 0 ms) there is a clear suppression of positional 389 probability for the +1 ahead position, occurring in the alpha/low-beta range. This is 390 unsurprising given the fact that the stimulus is presented in other locations during this time 391 period. Around the expected time of stimulus presentation, however, we see evidence of a 392 switch to above chance decoding, even though no stimulus is actually presented. Figure 5B 393 shows the timecourse of this effect within the alpha/low-beta range (10-16 Hz). Importantly, 394 Figure 5C shows that this predictive effect is specific to the alpha/low-beta frequency range.

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Discussion

Across two experiments we investigated whether stimulus-related (spatial) information is encoded in the power (squared amplitude) of neural oscillations over the occipital cortex. We also examined whether information is encoded in a *temporally* predictive fashion, as is required for predictive coding networks to effectively minimise prediction error under neural delays (Hogendoorn & Burkitt, 2019).

401 In Experiment 1, we demonstrated that the location of a moving stimulus could be 402 decoded from the power of occipital oscillations in the alpha/low-beta frequency range (with 403 peak encoding at ~12 Hz). Strikingly, we found it was possible to track the position of the 404 moving stimulus and reconstruct its trajectory from the power of these rhythms alone. We also 405 observed anticipatory activation of the expected but unstimulated stimulus position following 406 the end of a motion sequence. This demonstrates that the previously-reported pre-activation 407 revealed by analysis of raw EEG amplitudes (Blom et al., 2020) is likely encoded in alpha/low-408 beta band activity. In Experiment 2, by varying the update-rate of the stimulus we demonstrated 409 that the encoding of information in this frequency range is not driven by visual entrainment.

410 This study contributes to an emerging line of research examining potential links 411 between the in silico oscillatory dynamics of hierarchical predictive coding networks and 412 rhythmic activity patterns in human EEG recordings (Alamia et al., 2020; Alamia & 413 VanRullen, 2019). To our knowledge, this study is the first to demonstrate that the power of 414 occipital oscillations in the alpha/low-beta range carries predictive stimulus-related 415 information. This finding is broadly consistent with the theoretical predictions of Alamia and 416 VanRullen (2019). Interestingly, we found that peak information occurred at the border of the 417 canonical alpha/low-beta frequency ranges (12 Hz in Experiment 1). This potentially suggests 418 that the relevant inter-regional delay for visual processing may be shorter than originally 419 assumed (i.e., < 12 ms), leading to a higher frequency macroscopic spectral signature (Alamia 420 & VanRullen, 2019). From the results of Experiment 2, there was some evidence that, 421 qualitatively speaking, the strength of information encoding at lower frequencies depended on the stimulus-update rate. One interpretation of this overall pattern of results is that there is both 422 423 a stimulus-independent oscillatory signature in the alpha/low-beta range, which emerges due 424 to the inherently rhythmic dynamics of hierarchical predictive coding under signaling delays 425 (Alamia & VanRullen, 2019; Hogendoorn & Burkitt, 2019), and an additional stimulusdependent component, which emerges when the stimulation frequency sufficiently deviates 426 427 from this range. Ultimately however, further studies in which the stimulus update rate is varied 428 across a wider range are needed to fully address this possibility.

429 To briefly account for the observed effects, we think that stimulus onset may have 430 generated waves of activity originating from retinotopically-specific locations in visual cortex. 431 Indeed, previous theoretical and empirical work has established the existence of such waves, 432 and has shown that they manifest as oscillations in the alpha frequency range (Alamia et al., 433 2020; Alamia & VanRullen, 2019; Lozano-Soldevilla & VanRullen, 2019). Crucially, given 434 their spatially-specific nature, these waves of activity will have been registered on the 435 electrode-level as relative power differences. By examining normalized oscillatory power 436 across electrodes, it was therefore possible to determine the on-screen position of the stimulus.

437 While stimulus-position information was encoded most strongly in the alpha/low-beta 438 range, it should not be concluded that spatial information is exclusively encoded at this 439 (relatively slow) temporal scale. Indeed, it is likely that spatial information is also processed 440 on a more fine-grained timescale. In the present study, we may not have been able to observe 441 this due to the relatively poor resolution of scalp-based EEG recordings for high frequency 442 oscillations. Overall, the fact that stimulus-position information was predominantly encoded in 443 the alpha/low-beta range, aligns with the view that oscillations in this general frequency range 444 may be a macroscopic signature of predictive message passing between hierarchically 445 organised regions of the visual system (Alamia & VanRullen, 2019). While such signalling 446 almost certainly operates over many temporal and spatial scales, neural delays potentially cause 447 these oscillations to be the most prominent macroscopic rhythmic 'fingerprint' of network 448 activity.

449 In further support of this view, the probabilistic spatial maps we constructed displayed 450 temporally predictive activation patterns. Specifically, when the stimulus disappeared or 451 unexpectedly reversed its direction, we observed an increase in the probability the stimulus 452 was occupying the next position along its original trajectory of motion, at the expected time of 453 presentation. One could argue that these anticipatory activation patterns may be due to spatial 454 smearing or variability in decoding, however we have observed similar dynamics in our 455 previous work using more conventional classification analysis applied to raw EEG amplitudes 456 (Blom et al., 2020). Moreover, similar anticipatory patterns have also been directly observed 457 in numerous animal neurophysiology studies (Benvenuti et al., 2020; Berry et al., 1999; 458 Chemla et al., 2019; Jancke et al., 2004; Liu et al., 2021; Trenholm et al., 2013) as well as in 459 more recent human fMRI experiments (Ekman et al., 2017, 2022). The novelty of our current 460 work therefore lies in the demonstration that these anticipatory spatial representations manifest 461 in alpha/low-beta oscillations, consistent with recent computational predictions (Alamia & 462 VanRullen, 2019).

463 Considering potential neural mechanisms underlying these dynamics, there are two 464 main possibilities. First, it is possible that anticipatory activation is facilitated by an omni-465 directional spreading of activity between retinotopically organised neural populations. This 466 could be facilitated by within-region lateral connectivity (Benvenuti et al., 2020; Liu et al., 467 2021) or between-region divergent connectivity (Baldo & Caticha, 2005). A second possibility, 468 is that more complex sequence learning mechanisms are involved. For example, it has recently 469 been shown that after repeated exposure to visual sequences, activity in the visual cortex 470 associated with these sequences can be predictively activated ('pre-played') by the presentation 471 of just a single stimulus (Ekman et al., 2017, 2022). It is possible that in our experiments, 472 similar predictive associations between neighboring stimulus position were generated, leading 473 to anticipatory activation (although why pre-play of an ongoing sequence did not occur would 474 need to be accounted for). To arbitrate between these possibilities future studies could examine 475 the dynamics that arise when participants are exposed to arbitrary, non-contiguous sequences 476 of flashes (as in Ekman et al., 2022), using the decoding approach developed in the current 477 study.

478 While considering the question of temporal prediction, one point which should be made 479 is that despite showing temporally predictive qualities (activation of likely future positions in 480 the absence of direct input), the bulk of activity in the spatial probability maps still lagged 481 behind the stimulus (although activity onset did align with stimulus onset). This raises the 482 question of whether there was sufficient temporal prediction to fully compensate for neural 483 signaling delays (Hogendoorn & Burkitt, 2019). Ultimately, given the temporal smearing 484 inherent to time-frequency based analyses, it is beyond the scope of this paper to fully address 485 that question. This may be better tackled using alternative methods in which more fine-grained 486 temporal resolution can be achieved (see Blom et al., 2020; Johnson et al., 2023).

487 In appraising the current findings, it is important to consider two potential non-488 stimulus-driven sources of information that may have influenced our decoding analyses: 1) eye 489 movements, and 2) spatial attention differences. Eye movements are an insidious potential 490 artifact in neuroimaging experiments, that must be considered when employing classification 491 analyses (Quax et al., 2019). However, three factors greatly limit the possibility that eye 492 movements confounded the current analyses. Firstly, in earlier analyses of the data from 493 Experiment 1 (Blom et al., 2020), we demonstrated in a control sample of participants that the 494 position of the stimulus could not be decoded from eye-movement traces. Secondly, the current 495 analyses were restricted to only occipital electrodes. Since eye-movement-related muscle 496 activity manifests predominantly at frontal electrodes, the likelihood that we are picking up on 497 eye movements is further reduced. Finally, training epochs were limited to the first 150 ms 498 after the (unpredictable) onset of a motion sequence. Since saccade onsets and corresponding 499 eye-movement-related biases in decoding performance typically occur >200 ms after stimulus 500 onset (Quax et al., 2019), this further reduces the likelihood of eye-movement confounds. By 501 restricting our analyses to an early time window, and only analysing activity recorded directly 502 over the visual cortex, it is more likely that the current analyses are tapping into the initial feed-503 forwards sweep of visual information processing, rather than eye-movement-related 504 information.

505 It is also important to consider whether our decoding analyses were confounded by 506 position-related differences in spatial attention. This is because it has been demonstrated that 507 position-specific differences in covert spatial attention can be decoded from the power of alpha 508 oscillations (Foster et al., 2017). However, the theoretical work of Alamia and VanRullen 509 (2019) potentially prompts a subtle but significant re-interpretation of this earlier finding. 510 While Foster et al. (2017) clearly showed that shifts in attention co-occur with changes in alpha 511 power, this does not mean that alpha oscillations necessarily directly reflect the deployment of 512 spatial attention. Rather, top-down shifts in attention (occurring at ~300 ms in Foster et al., 513 2017) likely alter neural activity patterns in the visual system. Under Alamia and VanRullen's 514 account (2019), this would, in turn, change the pattern/amplitude of occipital alpha oscillations. 515 In that sense, alpha oscillations would reflect the knock-on effect that spatial attention 516 differences have on macroscopic network dynamics, rather than the deployment of spatial 517 attention directly. Considering the present results, the fact that our training epochs were 518 restricted to 50-150 ms after initial stimulus onset, again makes it more likely that we are 519 tapping into the first sweep of visual information processing rather than spatial-attention 520 differences, which one might expect to manifest over a slower timescale.

521 In conclusion, consistent with recent in silico simulations (Alamia & VanRullen, 2019) 522 we have shown that occipital alpha/low-beta oscillations carry predictive stimulus-related 523 information. By examining the power of these rhythms, we could reconstruct the trajectory of 524 a moving stimulus, tracking its position even across unexpected motion reversals. Moreover, 525 we found that future position representations were anticipatorily activated in the absence of 526 direct visual input, indicative of temporally predictive processing. Collectively, these results 527 support the view of alpha/low-beta oscillations as a potential spectral 'fingerprint' of 528 hierarchical predictive processing in the human visual system.

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611 Author Contributions

- 612 W.T., T.B., and H.H. contributed to conception and design. T.B. programmed both experiments
- 613 and oversaw data collection. W.T. analysed the data and drafted the article. All authors
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